


**HAND  
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U.S. HOUSE OF REPRESENTATIVES

  
(Office Use Only)

<b>UNITED STATES HOUSE OF REPRESENTATIVES</b>		<b>FORM B</b>	
<b>FINANCIAL DISCLOSURE STATEMENT</b>		For New Members, Candidates, and New Employees	
Name: <u>Robert J. Dold, Jr.</u> Daytime Telephone: _____			
<b>FILER STATUS</b>	<input checked="" type="checkbox"/> New Member of or Candidate for U.S. House of Representatives	State: <u>IL</u> District: <u>10</u>	<input type="checkbox"/> Check if Amendment Period Covered: January 1, 2013 to May 15, 2014
	<input type="checkbox"/> Candidates - Date of Election: <u>11-4-14</u>		
<input type="checkbox"/> New Officer or Employee Employing Office: _____			
A \$200 penalty shall be assessed against any individual who files more than 30 days late.			

**PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS**

<b>A.</b> Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Make more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>E.</b> Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
<b>C.</b> Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>F.</b> Do you have any reportable agreements or arrangements with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>D.</b> Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	<b>J.</b> Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"</b> <b>THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE</b>			

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS**

<b>TRUSTS</b> - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>EXEMPTION</b> - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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**Use additional sheets if more space is required.**

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**Use additional sheets if more space is required.**

Name: Robert J. Dold, Jr.	Page 4 of 8
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**EXCLUDE:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

2014. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

[illegible]

# **SCHEDULE D – LIABILITIES**

Name: **Robert J. Dold, Jr.**

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **New Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A \$10,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Liability)
	<i>Example</i> First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
	Charter One/RBS Citizens	10/03	Mortgage on 414 Frontage Rd Northfield			PAID	OFF	IN	2013					
JT	Northshore Community Bank	12/13	Mortgage on 414 Frontage Rd Northfield					X						
	Sallie Mae	1999	Student Loan	X										
	Wunderlich Securities	2009	Loan		X									
SP	TSP	2/2012			X									

# **SCHEDULE E – POSITIONS**

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members and second-year candidates** report positions held in the reporting period and the current calendar year. **First-year candidates and new employees** report positions held in the current calendar year and two previous years.

Position	Name of Organization
Managing Member	Rose Pest Solutions, LLC d/b/a Imperial Pest Management
President	Rose Exterminator Company
Managing Member	White Whale Consulting, LLC
Secretary	America's Infrastructure Alliance
Board Member	Boy Scouts of America, Northeast Illinois Council
Advisory Board Member	Sheridan Road Financial

Use additional sheets if more space is required.

# **SCHEDULE D – LIABILITIES**

Name: **Robert J. Dold, Jr.**

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **New Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A \$10,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							

# **SCHEDULE E – POSITIONS**

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members and second-year candidates** report positions held in the reporting period and the current calendar year. **First-year candidates and new employees** report positions held in the current calendar year and two previous years.

Position	Name of Organization
Board Member	Boys & Girls Club of Lake County

Use additional sheets if more space is required.

# **SCHEDULE F – AGREEMENTS**

Name: Robert J. Dold, Jr.

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
	None	

# **SCHEDULE J – COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

Source (Name and City/State)		Brief Description of Duties
Example:	Doe Jones & Smith, Hometown, Homestate	Accounting Services
	Intel Corporation, Santa Clara, CA	Consulting Services

Use additional sheets if more space is required.

**FILER NOTES  
(Optional)**

**Name:** Robert J. Dold, Jr.

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**Use additional sheets if more space is required.**



**ROBERT J. DOLD, JR.**  
**FORM B**  
**SCHEDULE A - ASSETS AND "UNEARNED" INCOME**

BLOCK A Asset and/or Income Source	BLOCK B Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	
			Current year 1/1/14 - 5/15/14	Preceding Year 2013
414 Frontage Road, Northfield, IL	\$500,001 - \$1,000,000	Income	\$15,001 - \$50,000	\$50,001 - \$100,000
2711 Douglas Avenue, Racine Wisconsin	\$50,001 - \$100,000	Income	\$1 - \$200	\$1 - \$200
Kellogg stock (NYSE: K)	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Wintrust Financial Corporation stock (NasdaqGS: WFTFC)	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
McDonalds Corp. stock (NYSE: MCD)	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
Procter and Gamble stock (NYSE: PG)	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$2,501 - \$5,000
BP stock (NYSE: BP)	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
American International Group stock (NYSE: AIG)	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Cisco Systems Inc. stock (Nasdaq: CSCO)	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Dell Inc. stock (Nasdaq: DELL)	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
TD Ameritrade Holding Corp stock (Nasdaq: AMTD)	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Time Warner Cable stock (NYSE: TWC)	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Time Warner Inc. New stock (NYSE: TWX)	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
IRA - Entergy Ark Inc 4.72% Pfd stock (OTC BB: EGRKIOB)	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
IRA - Dodge & Cox International Fund (Nasdaq DODFX)	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
IRA - Fundamental Investors Class A (Nasdaq ANCFX)	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
IRA - Growth Fund of America Class C (Nasdaq: GFACX)	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
IRA - Keeley Small Cap Value Fund Class A (Nasdaq: KSCVX)	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

**ROBERT J. DOLD, JR.**  
**FORM B**  
**SCHEDULE A - ASSETS AND "UNEARNED" INCOME**

BLOCK A Asset and/or Income Source	BLOCK B Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	
			Current year 1/1/14 - 5/15/14	Preceding Year 2013
Rose Exterminator Company, Inc. 401(k) plan - Principal Financial Group - Morley Financial Services Stable Value SIg Fund	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
SP Janus Orion Fund J Shares - Traditional IRA	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
SP Ameritrade IRA Rollover - Insured Deposit Account	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
SP Ameritrade IRA Rollover - Intel Corp. stock	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
All outstanding units of Rose Pest Solutions, LLC D/B/A Imperial Pest Management - a pest management company located in the Racine Wisconsin area.	\$100,001 - \$250,000	Net income from the activity	None	None
30% of all outstanding stock of Rose Exterminator Co., Inc. - a pest management company located in Northfield Illinois.	\$1,000,001 - \$5,000,000	Dividends	None	None
20% of the outstanding units of Fountainhead Partners, LLC - a rental property located in Michigan City, Indiana.	\$15,001 - \$50,000	Rental income	None	\$2,501 - \$5,000
All outstanding units of White Whale Consulting, LLC - a general consulting company located in Northfield.	\$1,001 - \$15,000	Net income from the activity	None	\$50,000 - \$100,000